

**UNITED STATES PATENT APPLICATION TRANSMITTAL FORM**

**Mail Stop Patent Application  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Docket No.: 30011470 US-02  
Customer No.: 27623



Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Yu Xu

For: OPTICAL NOTCH FILTER APPARATUS AND METHOD  
THEREFOR

Enclosed are:

**XXX** Specification ( 20pps.) consisting of: Description (15 pps); Claims (4 pps); Abstract (1 pp);

**XXX** 3 (three) sheets of drawings;

**XXX** Declaration and Power of Attorney;

**XXX** An assignment of the invention to: Agilent Technologies, Inc. including \$40.00 recordation fee and Assignment Recordation Form Cover Sheet;

       Information Disclosure Statement (with copies of patent);

       Form - PTO-1449;

       The undersigned attorney has verified that the applicant is entitled to a Small Entity Status; and

       Priority of U.S. Provisional Patent Application Serial No.                     , filed on                      is claimed under 35 U.S.C. §119(e).

       Priority of U.S. Patent Application Serial No.                     , filed on                      is claimed under 35 U.S.C. §120.

**XXX** Priority of application Serial No. 02 257 122.8 filed on October 14, 2002 in Europ is claimed under 35 U.S.C. §119;

       A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$770.00
Total Claims	19 - 20 =	0	x \$18.00	\$0
Independent Claims	3 - 3 =	0	x \$86.00	\$0
Multiple Dependent Claim Fee		x \$290.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$</b>		

1/2 FILING FEE FOR SMALL ENTITY	\$N/A
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\_\_\_\_\_ No fee enclosed – filing by missing parts.

**XXX** A check in the amount of **\$810.00** (\$770.00 for the filing fee and \$40.00 for the Assignment Recordation Fee) is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Paul D. Greeley, Esq.**  
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**U.S.A.**


October 7, 2003  
Date of Signature

  
\_\_\_\_\_  
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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL996769256US**, service under 37 CFR §1.10 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 7, 2003.

Allison Berkman  
(Typed name of person mailing paper)

  
\_\_\_\_\_  
(Signature of person mailing paper)